

Ref: 

SHAREWATCH

Account Opening Form

Please write in block capitals

1. About Your New Account

Which type of account(s) do you wish to open?

Note: You may open more than one type of account using this form. However each account will need to be funded separately

Share Trading Foreign Exchange Contracts for Difference (CFD) Spread Betting

Which type of service would you like Sharewatch to provide?

Execution only Advisory Discretionary

In which currency would you like your account to be opened? UK Sterling US Dollar Euro

2. Existing Sharewatch Accounts

If you have a Sharewatch account or accounts please enter your account number(s) below

3. About Single/Primary Applicant

Personal Details

Title: Mr Mrs Miss Ms Dr Other

First Name Surname

Current Address

Postcode Country

Date of Birth Office Tel

Home Tel Fax No

Mobile Tel Email

Which of the following regular email newsletters would you like to receive?

Irish & Global News Equities Foreign Exchange Commodities

Previous Address

Only complete this section if you have been living at your current address for less than 3 years. If you have had more than one previous address in the last 3 years please provide details on a separate sheet

Previous Address

Postcode Country

Bank Details (For funds transfer into your account)

Bank Name Bank Tel

Bank Address

Postcode Country

Account Name Account Number

Sort Code

Security and Identity Details

Mother's maiden name Your place of birth

Nationality Passport Number

ONLY complete this section if you wish to open a Contracts for Difference, Spread Bet or Foreign Exchange account. If you wish to open a Share Trading account please proceed to section 9 overleaf.

7. Additional Information For Margin Product Accounts

Financial Details

Approximate annual income before tax

€

Approximate value of savings and investments minus any outstanding loans

€

Source of funds

Employment

Inheritance

Investment

Other (please specify)

If homeowner, approximate equity in house

€

Investment Experience

Do you have experience of share dealing?

Yes

No

IF YES:

In what capacity do you deal in shares?

Advisory

Discretionary

Execution-only

What has been the average size and frequency of your share deals over the last year?

Size

€

Frequency

Who are the banks, stockbrokers or counterparties with which you most frequently deal?

Do you understand the nature and risks associated with margined (or geared) transactions?

Yes

No

Do you have experience of trading margined (or geared) products?

Yes

No

IF YES:

Which products do you have experience of trading?

Yes

No

Contracts for difference

Yes

No

Spread Betting

Yes

No

Financial Futures and Options

Yes

No

Commodity Futures and Options

Yes

No

Foreign Exchange

Yes

No

What has been the average size and frequency of your trades in margined products over the last year?

Size

€

Frequency

What is your objective for opening a CFD, Spread Bet or Foreign Exchange account?

Speculation

Hedging

Other (please specify)

Employment Details

Please note that we will **NOT** contact your employer for a reference

Employment Status:

Employed

Self-Employed

Unemployed

Retired

Student

Home Worker

Employer's Name

Number of years with this employer

Employer's Address

Postcode

County

Nature of Business

Position Held

Are you registered with the UK's Financial Services Authority (FSA) or The Financial Regulator of Ireland?

Yes

No

ONLY complete this section if you wish to open a Contracts for Difference, Spread Bet or Foreign Exchange account. If you wish to open a Share Trading account please proceed to section 9 below.

8. Intermediate Customer Notice

If you are applying to open a CFD, Foreign Exchange or Spread Bet account please read the following notice and sign below. If you wish to open a Share Trading account please proceed to the instructions below.

Sharewatch, Sharewatch Margin Products and Direct Sharedeal will only conduct CFD and Foreign Exchange trading and spread betting with a client whom we consider to fully understand the nature of the risks involved. Furthermore these CFD and Foreign Exchange trading and spread betting services are only available to those who have sufficient investment experience and understanding to be classified as an 'intermediate customer'. Depending on the information you have given regarding your experience and expertise in different types of investments we may be able to classify you as an intermediate customer. As a result of being classified as an 'intermediate customer' you will lose some of the protection afforded to Private Clients under the rules of the UK's Financial Services Authority (FSA). There are several areas where this protection will not apply. The main ones are:

Risk Warnings

Sharewatch, Sharewatch Margin Products and Direct Sharedeal will not be obliged to warn you of the risks of any transaction that we transact for you.

Charges

Under FSA rules, Sharewatch, Sharewatch Margin Products and Direct Sharedeal are not obliged to disclose the charges applicable in relation to the services we will be providing. However we have a policy of keeping clients informed of all relevant charges.

Signature(s)

I/We have read and understood the Intermediate Customer Notice and I/we agree to being treated as (an) Intermediate Customer(s) and, unless separately agreed in writing, to my money being held on a non-segregated basis as being treated outside the FSA's Client Money Rules.

Signature
of Single/
Primary
Applicant

Date

Signature
of Joint
Applicant

Date

Customer Agreements

Sharewatch, Sharewatch Margin Products and Direct Sharedeal will be under no obligation to set out in writing the terms on which the services are provided. However we have a policy of keeping our clients fully informed of our Terms Of Business.

Client Suitability

Sharewatch, Sharewatch Margin Products and Direct Sharedeal will assume that you are experienced enough to judge the suitability of any transactions entered into.

Client Money

Under the UK's FSA rules, due to your classification as an intermediate customer you will not be covered by the protection offered under the FSA Client Money Rules. As a result your money does not need to be segregated and could be used by Sharewatch, Sharewatch Margin Products and Direct Sharedeal in the course of its business. However it is our intention to keep your funds separate in designated client accounts.

Best Execution

Intermediate customers do not have the automatic right to receive 'best execution' in all markets.

Access To Financial Ombudsman

Intermediate customers do not have the right of access to the UK's Financial Ombudsman Service. For further information please contact the Sharewatch Compliance Officer.

9. How did you hear about us?

Where did you first hear about Sharewatch?

Instructions

Enclosed with this form are the **Terms Of Business** for various Sharewatch accounts. Please read the Terms Of Business that apply to the account you wish to open. If you agree to the terms please carefully complete this form and return it with the following items.

- A cheque for funds to be put into your account, made payable to **Direct Sharedeal Ltd**. For details of how to make a bank transfer or debit card payment please call us on **Lo-Call 1890 946 649**
- If you wish to open a CFD, Spread Bet or Foreign Exchange account sign the Risk Disclosure document and return it with this form

In addition, if you do not have a current Irish or British passport, please provide the following. Unfortunately we cannot open an account without these items.

- An original bank statement or original utility bill dated less than 6 months ago.
- A certified photocopy of your passport or driving licence*
Please do not send the original document

* Certification can be done at a bank or Garda Station by a lawyer or accountant. You will need to provide both a photocopy and the original document.

Return all documents to:

**Sharewatch Trading,
6-9 Trinity Street,
Dublin 2,
Ireland**

OR

**Sharewatch Trading,
Sterling House,
20 Renfield Street,
Glasgow G2 5AP**

If you have any questions please **Lo-Call 1890 946 649** or email **info@sharewatch.com**